13th Annual Compliance & Economic Crime Symposium

A one-day conference at Hotel de France on Tuesday 07 November 2017

7 hours CPD

- Stephen Platt, Stephen Platt & Associates LLP (Chairman)
- Simon Riondet, EUROPOL (Keynote speaker)
- George Pearmain, Government of Jersey
- Roger Best, Clifford Chance
- Barry Faudemer, Jersey Financial Services Commission
- Dan Marcos, Government of Jersey
- Clare Whitaker, Serious Fraud Office (SFO)
- Nick Williams, Ogier

www.JerseyCrimeSymposium.com
Introduction
Acknowledged by senior offshore practitioners as the essential event for MLROs, MLCOs, Compliance Officers, Senior Managers and Directors, the symposium offers a valuable opportunity to reflect upon the challenges faced by industry, whilst also equipping delegates with enhanced awareness of the key risks in compliance and the fight against financial crime.

Agenda

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<th>Time</th>
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<td>08:30–09:00</td>
<td>REGISTRATION &amp; REFRESHMENTS</td>
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| 09:00–09:15 | Stephen Platt *(Chairman)*  
Principal of Stephen Platt & Associates LLP |
| 09:15–10:00 | Dan Marcos  
Head of International Compliance for the Government of Jersey |
| 10:00–10:45 | Barry Faudemer  
Director of Enforcement for the Jersey Financial Services Commission |
| 10:45–11:00 | BREAK |
| 11:00–11:45 | Simon Riondet *(Keynote speaker)*  
Head of Financial Intelligence at EUROPOL |
| 11:45–12:30 | Panel discussion |
| 12:30–13:45 | LUNCH |
| 13:45–14:30 | Clare Whitaker  
Head of Fraud Division C at the Serious Fraud Office (SFO) |
| 14:30–15:15 | George Pearmain  
| 15:15–15:30 | BREAK |
| 15:30–16:15 | Roger Best  
Partner at Clifford Chance |
| 16:15–17:00 | Nick Williams  
Partner at Ogier |

Conference

Date: Tuesday 07 November 2017  
Venue: Hotel de France, Jersey, Channel Islands  
Time: 09:00-17:00 (Registration from 08:30)  
Price: £445 (plus GST)

Discounts:  
- 20% for JCOA members  
- 10% for ICA, KYC360°, JOC, JADO, JBA, IoD and STEP members  
(Discounts cannot be used in combination)

Interactivity is guaranteed as we will be using the audience participation tool Sli.do. Sli.do enables the audience to submit questions anonymously and also participate in poll questions. To access this interactive tool on the day, please either download the app or you can participate on your mobile device at www.Slido.com using the event code #JSYCrimeSymp.

Booking: Please book your places online at www.JerseyCrimeSymposium.com
Meet the Chairman & Keynote speaker

Stephen Platt (Chairman)
Principal
Stephen Platt & Associates LLP

Stephen is one of the world’s most experienced practitioners in the conduct of regulatory investigations. He is widely regarded as a leading expert in the criminal abuse of financial services and related regulatory issues with particular specialisms in anti-money laundering, sanctions and US extra-territoriality.

Stephen is an English barrister and a visiting Professor of Law at Georgetown University in Washington D.C. His practice is international. Among the numerous high-profile matters that he has been involved in over the last 2 decades are Arch Cru, Belgravia, R2R, Abacha, Maluf, Bhutto, Standard Chartered Trust Co, Anchor Trust, Caversham & Bell, and a whole host of others, less well publicised.

When not practising, he is much sought after as an accomplished speaker on the criminal abuse of financial services and regulation. He has trained the U.S. Department of Justice, the U.S. Treasury, numerous US law enforcement agencies, Europol, the Metropolitan Police and several regulatory authorities. He has spoken at the International Institute for Strategic Studies, the Cambridge Economic Crime Symposium, the European Parliament, the UN, the UNODC and the World Bank.

Simon Riondet (Keynote speaker)
Head of Financial Intelligence
EUROPOL

A French Police superintendent, Simon started his career in the French internal intelligence service. He was then assigned to the National Crime Squad where he held several management positions, including at regional units (criminal and financial investigations teams), the Surveillance and Intervention Unit, and the direction of the joint inter-agency task force dedicated to the fight against drug trafficking in the French West Indies.

He was later appointed the head of the French liaison desk in EUROPOL, responsible for all French law enforcement agencies’ cooperation with the European organisation.

He is now responsible for the entire spectrum of financial investigations from money laundering and asset recovery to cooperation between the EU FIUs (FIU.net project).
George Pearmain
Lead Policy Adviser – Private Wealth and Financial Crime
Government of Jersey

George has worked for the Government of Jersey (States of Jersey) since 2012, advising initially the Minister for Economic Development, and more recently the Chief Minister of Jersey on policy and legislative development in relation to the financial services industry.

George currently takes lead responsibility for Government engagement in both the private wealth management sector and financial crime policy along with a variety of other day-to-day work across the financial services industry.

George has a background in commercial litigation with a particular focus on fraud, regulatory and trust disputes.

Roger Best
Partner
Clifford Chance

Roger is head of Clifford Chance’s global regulatory enforcement, investigations and white collar group, based in London. He qualified as a solicitor in England in 1980 and has been a partner since 1990.

He is Chairman of the Inter-Pacific Bar Association’s Committee on Anti-Corruption and the Rule of Law, a member of the City of London Law Society’s Corporate Crime and Corruption Committee and a Practitioner Fellow of Sussex University’s Centre for the Study of Corruption. He continues to coordinate Clifford Chance’s role as honorary solicitors for Transparency International UK, having advised them on their guidance on good practice and procedures for corporate anti-bribery programmes.

Barry Faudemer
Director of Enforcement
Jersey Financial Services Commission

Barry is a member of the Executive Board and has been the Director of Enforcement at the Jersey Financial Services Commission (JFSC) since 2007.

During that time Barry has participated in hundreds of complex regulatory investigations and has given evidence in several significant financial crime-related criminal prosecutions. He has also been at the forefront of developing the JFSC’s approach to settlement agreements and the introduction in 2015 of legislation enabling the regulator to impose civil penalties.

Barry has 37 years of law enforcement/regulatory experience. Prior to joining the JFSC, he was the Head of the Police and Customs Joint Financial Crime Unit and graduated from the FBI National Academy in 2006 having studied financial crime and the funding of terrorism.

Barry is the Chairman of the tripartite group consisting of representatives from the JFCU, Law Officers’ Department and the JFSC. The tripartite group meets whenever a case emerges that has both a regulatory and criminal nexus. Barry is also a founding member of the Jersey Fraud Prevention Forum and acts as the JFSC’s representative on the National Fraud Intelligence Network (Fin-Net).
Clare Whitaker
Head of Fraud Division C
Serious Fraud Office (SFO)

Clare leads one of SFO's two fraud divisions.

Clare has over 20 years of prosecution experience, having worked at the Crown Prosecution Service as a Senior Crown Prosecutor before joining the Serious Fraud Office in 1996. Roles at the SFO include Investigative Lawyer and Case Controller and notable cases include R v Asil Nadir.

Dan Marcos
Head of International Compliance
Government of Jersey

Born and educated in Jersey, Dan later gained degrees from the University of Liverpool and the University of Warwick, graduating from Warwick with an MA in International Relations.

Dan joined the Ministry of External Relations in 2009 and worked as senior official in Jersey with responsibility for relations with the European Union and European and Gulf Cooperation Council states.

Promoted to Head of International Compliance in June 2016, with a focus on financial sanctions and international treaties, Dan was formerly Principal Private Secretary to the Minister for External Relations, Senator Sir Philip Bailhache.

Nick Williams
Partner
Ogier

Nick specialises in dispute resolution and restructuring and insolvency and has a broad experience of complex and often multi-jurisdictional commercial litigation. He co-chairs Ogier’s regulatory group, taking particular responsibility for contentious aspects. Legal 500 has described Nick as “pragmatic and particularly responsive to client needs” and “extremely pleasant to deal with”. Chambers UK reports that clients say Nick is “firm without being provocative” and that he “demonstrates a great ability to ensure perspectives are maintained in situations that can become inflamed”.

A regular speaker at firm events, Nick joined Ogier in 2007, having previously practised in England with Clifford Chance. He was admitted as a Jersey Advocate in 2010, and became a Partner at Ogier in 2013.
View all of our events at
www.JIBSEvents.com