11th Annual Compliance & Economic Crime Symposium

‘The definitive compliance and economic crime event of the year’

A one-day symposium
Hotel de France, Jersey
Tuesday 10 November 2015

Stephen Platt (Chairman)
Professor Michael Levi
Senator Ian Gorst
Commander Stephen Head (Keynote Speaker)
Barry Faudemer
Richard Hay
Professor Nicholas Ryder
Nigel Kirby

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Programme

<table>
<thead>
<tr>
<th>Time</th>
<th>Speaker</th>
<th>Topic</th>
</tr>
</thead>
<tbody>
<tr>
<td>08:30-09:00</td>
<td>REGISTRATION &amp; REFRESHMENTS</td>
<td></td>
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<tr>
<td>09:00-09:15</td>
<td>Stephen Platt (Chairman), Principal at Stephen Platt &amp; Associates LLP</td>
<td>Introduction</td>
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<tr>
<td>09:15-10:00</td>
<td>Professor Michael Levi, Professor of Criminology at Cardiff University</td>
<td>The future of AML</td>
</tr>
<tr>
<td>10:00-10:45</td>
<td>Senator Ian Gorst, Chief Minister of the States of Jersey</td>
<td>Jersey – leading the way in financial services regulation</td>
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<tr>
<td>10:45-11:00</td>
<td>BREAK</td>
<td></td>
</tr>
<tr>
<td>11:00-12:30</td>
<td>Commander Stephen Head (Keynote Speaker), Association of Chief Police Officers (ACPO) National Police Coordinator for Economic Crime at the City of London Police</td>
<td>How the fight against economic crime is developing</td>
</tr>
<tr>
<td>12:30-13:45</td>
<td>LUNCH – There will be an optional 15-minute presentation by the ICA on 'Emerging best practice in Financial Crime Prevention in Banking' at 12:30</td>
<td></td>
</tr>
<tr>
<td>13:45-14:30</td>
<td>Barry Faudemer, Director of Enforcement at Jersey Financial Services Commission</td>
<td>The 12 steps to avoid enforcement and civil penalties – how will the Commission approach enforcement?</td>
</tr>
<tr>
<td>14:30-15:15</td>
<td>Richard Hay, Tax Partner at Stikeman Elliott (London) LLP</td>
<td>Growing transparency: should all private property be public knowledge?</td>
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<tr>
<td>15:15-15:30</td>
<td>BREAK</td>
<td></td>
</tr>
<tr>
<td>15:30-16:15</td>
<td>Professor Nicholas Ryder, Professor in Financial Crime in the Department of Law at the University of the West of England</td>
<td>Market manipulation: regulatory and enforcement responses in the United Kingdom and United States of America</td>
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<tr>
<td>16:15-17:00</td>
<td>Nigel Kirby, Deputy Director for the National Crime Agency’s Economic Crime Command</td>
<td>The role of the National Crime Agency in tackling international financial crime flows</td>
</tr>
</tbody>
</table>

Introduction

Acknowledged by senior offshore practitioners as the essential event for MLROs, MLCOs, Compliance Officers, Senior Managers and Directors, the symposium offers a valuable opportunity to reflect upon the challenges faced by industry, whilst also equipping participants with enhanced awareness of the key risks in compliance and the fight against financial crime.

In 2014 attendees enjoyed a sell-out event with keynote speaker Polly Greenberg, Chief of Major Economic Crimes Bureau at the New York County District Attorney’s Office. This year’s delegates can expect a fascinating presentation delivered by Commander Stephen Head, Association of Chief Police Officers (ACPO) National Police Coordinator for Economic Crime at the City of London Police.

Our keynote speaker will talk for 1 hour and other speakers will talk for 30 minutes. After each speaker there will be an interview with the conference chairman who will also encourage questions from the floor.

We are grateful to Stephen Platt & Associates LLP, KYC360° and the ICA for their sponsorship of the symposium, and for the continued support from the JCOA.

Symposium

Date: Tuesday 10 November 2015
Venue: Hotel de France, Jersey, Channel Islands
Time: 08:30 to 17:00 (7 hrs CPD)
Price: £425 (plus GST)

Discounts: 20% for JCOA members; 10% for ICA, STEP and KYC360° members. Membership discounts cannot be used in combination.

Stephen Platt (Chairman)
Stephen Platt & Associates LLP

Stephen is an English Barrister and an Adjunct Professor at the Graduate School of Law at Georgetown University, Washington DC.

Stephen focuses solely on leading complex regulatory investigations internationally. He has managed some of the most politically and economically sensitive investigations involving economic crime and regulatory non-compliance.

Commander Stephen Head (Keynote Speaker)
ACPO National Police Coordinator for Economic Crime at the City of London Police

As National Police Coordinator, Commander Head manages and coordinates the police response to fraud through setting standards, facilitating joint working and integrating strategies and activities across the counter-fraud community.

As Commander for the Economic Crime Directorate he also has responsibility for supporting the Association of Chief Police Officers (ACPO) and the Government in setting the national counter-fraud strategy.

The Commander also leads on police investigations of Overseas Corruption and Bribery involving British citizens and companies abroad.
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Senator Ian Gorst
Chief Minister of the States of Jersey

Senator Ian Gorst was first elected as Chief Minister of Jersey on 14th November 2011 and re-elected on 3rd November 2014.

Senator Gorst’s previous role was as Minister for Social Security. He also served concurrently as Chairman of the Jersey Overseas Aid Commission. Previously, he acted as an Assistant Minister in both the Chief Minister’s Department and in the Treasury Department.

Senator Gorst is an accountant with significant experience in private client, private equity and retail fund sectors.

Professor Michael Levi
Professor of Criminology, Cardiff University

Dr. Michael Levi (MA, Dip Crim, PhD, DSc (Econ)) has degrees from Oxford, Cambridge, Southampton and Cardiff Universities and has been Professor of Criminology at Cardiff University since 1991. He has been conducting international research on the control of white-collar and organised crime, corruption and money laundering/financing of terrorism since 1972, and has published widely on these subjects as well as editing major journals.


Barry Faudemer
Director of Enforcement at Jersey Financial Services Commission

Barry Faudemer has been the Director of Enforcement of the Jersey Financial Services Commission since 2007 and is currently overseeing approximately a hundred enforcement cases a year.

Barry acts as the MLRO for the Commission and also has responsibility for the effective operation of the Commission’s intelligence function.

Prior to joining the Commission Barry was the head of the Police and Customs Joint Financial Crimes Unit and a graduate of the FBI National Academy.

Richard Hay
Tax Partner at Stikeman Elliott (London) LLP

Richard Hay is a Tax Partner and the Head of the International Private Banking and Financial Regulation Practice in the London office of Stikeman Elliott, Canadian and International lawyers. He is counsel to the International Financial Centres (IFC) Forum which represents the major professional firms in the leading small financial centres.

Richard is a member of the STEP Public Policy Committee. His key area of expertise is related to tax information exchange and international financial regulation. Richard was named as one of the “500 most influential people in the world’s financial centres” in the FCI 500 produced by Financial Centres International.

Professor Nicholas Ryder
Professor in Financial Crime in the Department of Law at the University of the West of England

Nicholas Ryder is a Professor in Financial Crime in the Department of Law at the University of the West of England (UWE) and his area of research is financial crime. He has published over 60 articles and has written four monographs including: ‘The financial war on terror: a review of counter-terrorist financing strategies since 2001’; ‘The financial crisis and white collar crime: The perfect storm?’; ‘Money laundering an endless cycle?’ and ‘Financial crime in the 21st century – law and policy’.

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Nigel Kirby
Deputy Director for the National Crime Agency’s Economic Crime Command

Nigel is the Deputy Director for the Economic Crime Command responsible for: the UK Financial Intelligence Unit (FIU); Bribery, Corruption & Sanctions and Threat Groups & Task Forces. He also leads on Strategy & Partnership engagement.

Prior to this role, Nigel was the Deputy Director responsible for developing the NCA’s approach to Planning, Performance & Risk. Almost 30 years in Law Enforcement has seen him serve in the Serious Organised Crime Agency (SOCA), Customs & Excise, the Foreign Office and Home Office. Nigel has senior leadership and operational experience investigating serious crime and collecting covert intelligence.
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**International Compliance Association**
The leading global provider of professional certificated qualifications and training in anti-money laundering (AML), governance, risk & compliance and fraud/financial crime prevention.

In association with

**JCOA**
The Jersey Compliance Officers Association was formed in 1997 and its members comprise compliance officers, regulators and other professionals from the financial services industry.