12th Annual Compliance & Economic Crime Symposium

‘The definitive compliance and economic crime event of the year’

A one-day symposium
Hotel de France, Jersey
Thursday 03 November 2016

- Stephen Platt, Stephen Platt & Associates LLP (Chairman)
- Richard Weber, Inland Revenue Service (IRS) (Keynote Speaker)
- Jennifer Haslett, HMRC (Keynote Speaker)
- John Thompson, British Bankers’ Association (BBA)
- Viri Chauhan, International Compliance Training (ICT)
- Beverley Lacey, Lacey Advocates
- John Riva, KPMG Islands Group
- George Pearmain, States of Jersey
- Jonathan Fisher QC, LSE Law
- Neil Bennett, Ember Consultancy
Programme

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<td>REGISTRATION &amp; REFRESHMENTS</td>
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<td>09:00-09:15</td>
<td>Stephen Platt (Chairman), Principal at Stephen Platt &amp; Associates LLP</td>
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<td>09:15-10:00</td>
<td>John Thompson, Senior Policy Director, Head of Financial Crime Policy at British Bankers’ Association</td>
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<td>10:00-10:45</td>
<td>Vini Chauhan, Global Head of Governance, Risk and Compliance at International Compliance Training (ICT)</td>
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<td>10:45-11:00</td>
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<td>11:00-11:45</td>
<td>Beverley Lacey, Advocate at Lacey Advocates</td>
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<td>11:45-12:30</td>
<td>Richard Weber (Keynote Speaker), Chief, IRS Criminal Investigation at the Inland Revenue Service (IRS), United States of America</td>
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<td>Panel Discussion with George Pearmain, Jennifer Haslett &amp; Beverley Lacey</td>
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<td>15:30-16:15</td>
<td>Jonathan Fisher QC, Practising barrister at Devereux Chambers, London and Visiting Professor in Practice at LSE</td>
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<td>16:15-17:00</td>
<td>Neil Bennett, Director at Ember Consultancy and former Scotland Yard Detective</td>
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Introduction

Acknowledged by senior offshore practitioners as the essential event for MLROs, MLCOs, Compliance Officers, Senior Managers and Directors, the symposium offers a valuable opportunity to reflect upon the challenges faced by industry, whilst also equipping delegates with enhanced awareness of the key risks in compliance and the fight against financial crime.

This year, delegates can expect two fascinating keynote presentations delivered by Richard Weber, Chief of IRS Criminal Investigation at the Inland Revenue Service (United States of America), and Jennifer Haslett, Corporate Crime and International Engagement Lead at HMRC (United Kingdom).

This year’s keynote speakers will talk for 45 minutes and will be followed by a panel discussion. Other speakers will talk for 30 minutes. After each speaker there will be an interview with the conference chairman who will also encourage questions from the audience.

Symposium

Date:    Thursday 03 November 2016
Venue:  Hotel de France, Jersey, Channel Islands
Time:    08:30 to 17:00 (7 hrs CPD)
Price: £425 (plus GST)

Discounts: 20% for JCOA members;
           10% for ICA, STEP, JADO and KYC360° members.
           Membership discounts cannot be used in combination.

Booking: Please book your seats online at www.jerseyibs.com/symposium
Meet The Chairman & Keynote Speakers

Stephen Platt (Chairman)
Chairman of Stephen Platt & Associates LLP

Stephen Platt is one of the world’s most experienced practitioners in the conduct of regulatory investigations. He is widely regarded as a leading expert in the criminal abuse of financial services and related regulatory issues with particular specialisms in anti-money laundering, sanctions and US extra-territoriality.

Stephen is an English barrister and a visiting Professor of Law at Georgetown University in Washington D.C. His practice is international. Among the numerous high-profile matters that he has been involved in over the last two decades are Arch Cru, Belgravia, R2R, Abacha, Maluf, Bhutto, Standard Chartered Trust Co, Anchor Trust, Caversham & Bell, and a whole host of others, less well publicised.

When not practising, he is much sought after as an accomplished speaker on the criminal abuse of financial services and regulation. He has trained the U.S. Department of Justice, the U.S. Treasury, numerous US law enforcement agencies, Europol, the Metropolitan Police and several regulatory authorities. He has spoken at the International Institute for Strategic Studies, the Cambridge Economic Crime Symposium, the European Parliament, the UN, the UNODC and the World Bank.

Richard Weber (Keynote Speaker)
Chief, IRS Criminal Investigation at the Inland Revenue Service

As Chief, IRS Criminal Investigation (IRS-CI), Richard Weber heads the Internal Revenue Service Division responsible for investigating criminal violations of the tax code and related financial crimes. Headquartered in Washington, D.C., Mr. Weber oversees a worldwide staff of nearly 3,500 CI employees, including approximately 2,300 special agents, who investigate crimes involving tax, money laundering, public corruption, cyber, ID theft, narcotics and terrorist financing.

Before joining IRS-CI, Mr. Weber was the Deputy Chief of the Investigation Division and Chief of the Major Economic Crimes Bureau in the Manhattan District Attorney’s Office. Mr. Weber has previously served as Chief of the Asset Forfeiture and Money Laundering Section at the Department of Justice, and as an Assistant United States Attorney in the Eastern District of New York.

Mr. Weber is the recipient of the 2015 Presidential Rank Award – the highest civil service recognition that is given in the Federal government – and a two-time recipient of the Attorney General’s John Marshall Award, the highest honor for Justice Department lawyers.

Jennifer Haslett (Keynote Speaker)
Corporate Crime and International Engagement Lead at HMRC

Jennifer Haslett has been working on international engagement on tax policy issues within HM Treasury and HMRC since 2011. Since working on the introduction of FATCA and information exchange under the Common Reporting Standard, Jennifer has been leading on legislating for a new offence to apply to corporations whose representatives criminally facilitate tax evasion and international engagement to tackle offshore non-compliance.
Meet The Symposium Speakers

John Thompson
Senior Policy Director, Head of Financial Crime Policy, British Bankers' Association

John Thompson is the recently appointed Senior Policy Director, Head of Financial Crime Policy at the BBA. John joined the Home Office in 2003 and during his time in the Home Office worked on a number of policy and operational roles connected to immigration, organised crime and counter-terrorism. He was most recently the Deputy Director of Migration Policy and prior to that was the Head of Criminal Finances and Head of Economic Crime Command Team in the Home Office.

Viri Chauhan
Global Head of Governance, Risk and Compliance, International Compliance Training (ICT)

Viri Chauhan is the Global Head of Governance, Risk and Compliance at International Compliance Training, with considerable experience in telecommunications, aerospace, private practice and also in the not-for-profit sector and academia. Viri is also completing his PhD at Bristol Law School, University of the West of England (UWE) on the subject of illicit financial flows and was also a visiting lecturer there, teaching at Master’s level (MBA and LLM) in the subjects of International Banking and Financial Crime. Viri combines these disciplines in a commercial setting to bring out a unique learning experience for students of ICT that can be used in a credible and pragmatic way to meet the challenge of fast-paced regulatory change and compliance requirements. Previously Viri was a Director at France Telecom and Orange, responsible for the communications, awareness and training provision in the subject of fraud and revenue assurance across 40 international divisions servicing over 200,000 employees.

Beverley Lacey
Advocate, Lacey Advocates

With over 25 years' experience, Beverley Lacey is a leading litigation practitioner and has acted on many of the major disputes and cases to come before the Island's courts and on countless confidential regulatory investigations and enforcement cases. Beverley was a senior litigation partner with Mourant Ozannes (1990-2012) when she left to establish Lacey Advocates.

Beverley is lawyer of choice for financial institutions, key individuals, regulators and government agencies, particularly in relation to: Jersey Financial Services Commission investigations and enforcement actions; AML/CFT and sanctions issues; fiscal inquiries and investigations; boardroom and shareholder disputes; trust litigation; insolvency procedures. A Crown Advocate, Beverley also prosecutes criminal cases on behalf of HM Attorney General.

Jonathan Fisher QC
Practising barrister, Devereux Chambers, London and Visiting Professor in Practice, LSE

Jonathan Fisher QC is a practising barrister in London specialising in financial crime and proceeds of crime cases. He is listed by Chambers UK Bar Directory as a Band 1 leading practitioner in both areas. In parallel with practice, he is a Visiting Professor in Practice at the London School of Economics and has taught LLM courses on Corporate Crime, Financial Crime and International Economic Sanctions since 2006. He is General Editor of Lloyd’s Law Reports: Financial Crime and has published many papers on the international response to financial crime. An experienced speaker whose views are often sought by the media, the UK legal directories have described him as "high-flying", "a standout barrister", "academically very highly regarded", "having great gravitas … where the stakes are high", and "calm, sure, and deadly at spotting the weaknesses of the opponent’s case".
Neil Bennett
Director at Ember Consultancy and former Scotland Yard Detective

Neil Bennett is a former Detective with 30 years’ Police experience based at New Scotland Yard operationally involved in every facet of counter terrorism. In 2001 he assisted in developing the UK terrorist finance capability into a national and internationally recognised leader in the field. Seconded to the MOD, he initiated the UK Defence ‘Threat Finance’ strategies, policies and training to support Defence deployments overseas. In this role he developed cross-Government operational strategies to exploit the financial vulnerabilities of extremist groups internationally.

His current commitments include: leading CFT course design & delivery on behalf of UNODC; CFT support on behalf of EU to Turkish FIU; capacity-building on behalf of Caribbean Asset Recovery Programme as well as CFT/AML consultancy service to the international private and public sectors.

John Riva
Head of Tax, KPMG Islands Group

John Riva is a Partner in KPMG Channel Islands and is Head of Tax for a sub-region of KPMG Europe which includes a number of jurisdictions such as the Channel Islands, the Isle of Man, Gibraltar, Malta, Bermuda, Cayman Islands, BVI and a number of other Caribbean Islands. The sub-group has gross revenues from the tax function of Euro 35 million and John is in charge of 150 tax professionals.

John has nineteen years’ experience at partner level in Jersey and international taxation and specialises in all aspects of offshore tax matters. John deals with a number of the larger financial and non-financial companies in the Channel Islands, providing advice to the companies, their shareholders, employees and underlying clients.

George Pearmain
Lead Policy Adviser, Private Wealth and Financial Crime
Government of Jersey (States of Jersey)

George Pearmain has worked for the Government of Jersey (States of Jersey) since 2012 advising initially the Minister for Economic Development, and more recently the Chief Minister of Jersey on policy and legislative development in relation to the financial services industry.

George currently takes lead responsibility for Government engagement in both the private wealth management sector and financial crime policy along with a variety of other day-to-day work across the financial services industry.

George has a background in commercial litigation with a particular focus on fraud, regulatory and trust disputes.
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2016 Investment & Private Wealth Management Conference

The Investment & Private Wealth Management industry faces a host of challenges, including technological developments, enhanced fiduciary responsibility, and a variety of regulatory burdens. This event will feature presentations from industry experts, across a broad spectrum of topics carefully chosen to meet the needs of those engaged in managing private wealth.

**Key Benefits**
- Hear from top speakers within their fields.
- Understand the latest trends in wealth management.

**Details**
- **Date:** 06 December 2016
- **Time:** 09:00 - 17:15, Registration 08:30
- **Venue:** Radisson Blu, Jersey
- **Cost:** £395
- **Discounts:** 10% for JADO & STEP members

[www.jerseyibs.com/PWM](http://www.jerseyibs.com/PWM)

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2016 Leadership & Management in Financial Services Conference

The 2016 Leadership & Management in Financial Services Conference is a ‘must attend’ event for all Directors working in Financial Services. You will learn from the hard-won experience of recognised industry leaders as well as understand potential challenges laying in the road ahead.

**Key Benefits**
- Understand key issues facing financial services leaders & managers.
- Presentations include real-life case studies.

**Details**
- **Date:** 08 December 2016
- **Time:** 09:00 - 17:15, Registration 08:30
- **Venue:** Hotel de France, Jersey
- **Cost:** £395
- **Discounts:** 10% for JADO & IoD members

[www.jerseyibs.com/L&M](http://www.jerseyibs.com/L&M)

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2017 Regulatory Summit

With leading speakers from the world of financial services regulation this event will help delegates to understand some of the myriad regulations facing the financial services industry. This one-day summit will examine the key challenges faced by industry and discuss the future and nature of regulation in Jersey, and globally. Designed in collaboration with industry and local experts this event is essential for those wanting to keep up to date with key developments.

**Key Benefits**
- Provides delegates with up-to-date knowledge on how to ensure compliance within current regulatory standards.
- Presentations include real-life case studies.

**Details**
- **Date:** 14 March 2017
- **Time:** 09:00 - 17:15, Registration 08:30
- **Venue:** Hotel de France
- **Cost:** £395
- **Discounts:** 20% for JCOA members
- **Cost:** 10% for ICA, JADO, KYC360° and STEP members

[www.jerseyibs.com/regulatorysummit](http://www.jerseyibs.com/regulatorysummit)

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2017 CyberSecurity Forum

The breadth of the challenge linked to cybercrime can sometimes be bewildering. Often senior managers and financial services professionals have more questions than answers about how to prevent cybercrime. This event aims to answer some of the burning questions and will serve as a roadmap for risk management frameworks.

This forum will feature speakers from local industry as well as global Cyber Security leaders fighting to raise awareness of the current cyber security risks within the financial services industry.

**Key Benefits**
- Get ahead of cybercrime with updated knowledge of the latest threats and developments in cyber security.
- Meet and network with like-minded financial services professionals.

**Details**
- **Date:** 25 April 2017
- **Time:** 09:00 - 16:00, Registration 08:30
- **Venue:** Hotel de France
- **Cost:** £395
- **Discounts:** 10% Digital Jersey, CIISF, KYC360° members

[www.jerseyibs.com/cyber](http://www.jerseyibs.com/cyber)

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www.jerseyibs.com/symposium
Supported by:

**JCOA**
The Jersey Compliance Officers Association was formed in 1997 and its members comprise compliance officers, regulators and other professionals from the financial services industry.

**ICA**
The leading global provider of professional certificated qualifications and training in anti-money laundering (AML), governance, risk & compliance and fraud/financial crime prevention.

**JADO**
The Jersey Association of Directors & Officers ("JADO") was established on 17 May 2011 to represent individuals acting as Directors or Principal Persons as well as other company officers within Jersey.

**FULCRUM**
Fulcrum is a global provider of e-Learning solutions specifically designed for the financial services sector.

**STEP**
The Jersey Branch of STEP, which was established in 1993, is one of the largest and most active in the world. The Branch is run by a committee of twelve professionals drawn from across the island’s wealth management industry.

**KYC360°**
The global on-line community of AML professionals spanning 120 countries offering free tools, resources and members forums.

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